

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

In re Robert Romanoff  
Debtor

Case No. 13-40002  
Reporting Period: 03/01/14-03/31/14

Social Security # 5222  
(last 4 digits only)

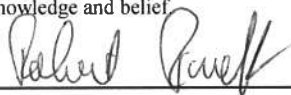
MONTHLY OPERATING REPORT  
(INDIVIDUAL WAGE EARNERS)

File with the Court and submit a copy to the United States Trustee within 20 days after the end of the month and submit a copy of the report to any official committee appointed in the case.  
(Reports for Rochester and Buffalo Divisions of Western District of New York are due 15 days after the end of the month, as are the reports for Southern District of New York.)

REQUIRED DOCUMENTS	Form No.	Document Attached	Explanation Attached
Schedule of Cash Receipts and Disbursements	MOR-1 (INDV)	x	
Bank Reconciliation (or copies of debtor's bank reconciliations)	MOR-1 (CONT)	x	
Copies of bank statements		x	
Disbursement Journal	MOR-2 (INDV)	x	
Balance Sheet	MOR-3 (INDV)		
Copies of tax returns filed during reporting period		n/a	
Summary of Unpaid Post-petition Debts	MOR-4 (INDV)	x	
Status of Secured Notes, Leases, Installment Payments	MOR-5 (INDV)	x	
Debtor Questionnaire	MOR-6 (INDV)	y	

I declare under penalty of perjury (28 U.S.C. Section 1746) that the documents attached to this report are true and correct to the best of my knowledge and belief.

Signature of Debtor



Date

5/6/14

Signature of Joint Debtor

Date

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### BANK RECONCILIATIONS

#### Continuation Sheet for MOR-1

A bank reconciliation must be included for each bank account. The debtor's bank reconciliation may be substituted for this page.  
(Bank account numbers may be redacted to last four numbers.)

	Operating #6082	# Payroll	# Tax	Other
<b>BALANCE PER BOOKS</b>	9,104.90		1,500.00	
<b>BANK BALANCE</b>	10,319.73		1,500.00	
(+) DEPOSITS IN TRANSIT ( <i>ATTACH LIST</i> )	-		-	
(-) OUTSTANDING CHECKS ( <i>ATTACH LIST</i> ):	1,214.83		-	
OTHER ( <i>ATTACH EXPLANATION</i> )	-		-	
<b>ADJUSTED BANK BALANCE *</b>	9,104.90		1,500.00	

\*"Adjusted Bank Balance" must equal "Balance per Books"

DEPOSITS IN TRANSIT	Date	Amount	Date	Amount
n/a				
CHECKS OUTSTANDING	Ck. #	Amount	Ck. #	Amount
	1077	350.00		
	1093	370.00		
	1094	186.44		
	1095	126.33		
	1096	182.06		
		1,214.83		

#### OTHER

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Robert Romanoff

Case No. 13-40002

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Reporting Period: 03/01/14-03/31/14

**INDIVIDUAL DEBTOR CASH RECEIPTS AND CASH DISBURSEMENTS**

(This Form must be submitted for each bank account maintained by the Debtor)

Amounts reported should be per the debtor's books, not the bank statement. The beginning cash should be the ending cash from the prior month or, if this is the first report, the amount should be the balance on the date the petition was filed. Attach the bank statements and a detailed list of all disbursements made during the report period that includes the date, the check number, the payee, the transaction description, and the amount. A bank reconciliation must be attached for each account. [See MOR-1 (CONT)]

	Current Month Actual	Cumulative Filing to Date Actual
<b>Cash - Beginning of Month</b>	17,577.20	
<b>RECEIPTS</b>		-
Wages (Net)		-
<b>Interest and Dividend Income</b>		-
Alimony and Child Support		-
Social Security and Pension Income		-
Sale of Assets		-
Other Income ( <i>attach schedule</i> )	40,377.27	189,809.11
<b>Total Receipts</b>	40,377.27	189,809.11
<b>DISBURSEMENTS</b>		
<b>ORDINARY ITEMS:</b>		
Mortgage Payment(s)	20,418.30	50,119.42
Rental Payment(s)		-
<b>Other Secured Note Payments</b>		-
Utilities	2,250.23	5,239.97
Insurance	10,590.00	27,425.00
Auto Expense	775.63	5,062.89
Lease Payments		-
IRA Contributions		-
Repairs and Maintenance	350.00	2,790.81
Medical Expenses		315.00
Food, Clothing, Hygiene	3,944.34	21,530.91
Charitable Contributions		-
Alimony and Child Support Payments		-
Taxes - Real Estate		-
Taxes - Personal Property		150.00
Taxes - Other ( <i>attach schedule</i> )		-
Travel and Entertainment	2,778.43	8,760.53
Gifts		-
Other ( <i>attach schedule</i> )	7,742.64	62,077.02
<b>Total Ordinary Disbursements</b>	48,849.57	183,471.55
<b>REORGANIZATION ITEMS:</b>		
Professional Fees		
U. S. Trustee Fees	0	650
Other Reorganization Expenses ( <i>attach schedule</i> )		
<b>Total Reorganization Items</b>	0	650
<b>Total Disbursements (Ordinary + Reorganization)</b>	48,849.57	184,121.55
<b>Net Cash Flow (Total Receipts - Total Disbursements)</b>	(8,472.30)	5,687.56
<b>Cash - End of Month (Must equal reconciled bank statement)</b>	9,104.90	

Robert Romanoff  
Debtor

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**INDIVIDUAL DEBTOR CASH RECEIPTS AND CASH DISBURSEMENTS**  
(continuation sheet)

<b>BREAKDOWN OF "OTHER" CATEGORY</b>	<b>Current Month Actual</b>	<b>Cumulative Filing to Date Actual</b>
<b>Other Income</b>	40,377.27	186,809.11
1099 income- Absolute Electric	6,000.00	37,500.00
Rental Income	8,500.00	34,000.00
Deutsche Bank- Carlyle Group Distribution	25,000.00	83,944.64
Transfers to close out HSBC	-	5,208.09
Insurant-return of Security Deposit	-	25,200.00
misc	877.27	956.38
<b>Other Taxes</b>		
<b>Other Ordinary Disbursements</b>	7,742.64	59,632.02
storage	1,307.64	6,392.28
bank transfer		7,738.53
school fees	480.00	19,791.93
interest expense	3,820.00	20,070.00
computer checks		227.28
bank charges	15.00	72.00
childcare	2,120.00	5,340.00
<b>Other Reorganization Expenses</b>		

**THE FOLLOWING SECTION MUST BE COMPLETED**  
**DISBURSEMENTS FOR CALCULATING U.S. TRUSTEE QUARTERLY FEES:**

TOTAL DISBURSEMENTS	48,849.57	135,271.98
LESS: TRANSFERS TO OTHER DEBTOR IN POSSESSION ACCOUNT	-	1,500.00
PLUS: ESTATE DISBURSEMENTS MADE BY OUTSIDE SOURCES	-	-
TOTAL DISBURSEMENTS FOR CALCULATING U.S. TRUSTEE QUARTERLY FEES	48,849.57	133,771.98

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**DISBURSEMENT JOURNAL**  
**CASH DISBURSEMENTS xx6158**

Date	Payee	Purpose	Amount
	NONE		
Total Cash Disbursements			-

**BANK ACCOUNT DISBURSEMENTS**

Date	Payee	Purpose	Amount	Check #
3/12/2014	TIME WARNER CABLE	utilities	(227.55)	1059
3/1/2014	AIG Private Client Group	Insurance	(2,430.00)	1066
3/5/2014	Karen romanoff	Food, Clothing, Hygiene	(859.76)	1073
3/16/2014	Iowa Sports	entertainment	(2,246.75)	1074
3/12/2014	Karen romanoff	Food, Clothing, Hygiene	(953.62)	1075
3/16/2014	Karen romanoff	Food, Clothing, Hygiene	(861.42)	1076
3/16/2014	Nicholas Romanoff	Food, Clothing, Hygiene	(350.00)	1077
3/16/2014	Poland Springs	Food, Clothing, Hygiene	(53.22)	1078
3/16/2014	HOLY Cross Electric	utilities	(464.70)	1079
3/16/2014	TIME WARNER CABLE	utilities	(259.54)	1080
3/16/2014	**VOID**Dwight School	Food, Clothing, Hygiene	-	1081
3/16/2014	Century Link	utilities	(40.84)	1082
3/16/2014	Comcast Cable	utilities	(51.12)	1083
3/16/2014	VerIZONS 0102	utilities	(362.28)	1084
3/16/2014	Con Ed 525-8d	utilities	(162.16)	1085
3/16/2014	Con Ed 525-8e	utilities	(187.21)	1086
3/16/2014	Prestage Prep	other/school	(480.00)	1087
3/16/2014	PRUDential Life	Insurance	(4,795.00)	1088
3/16/2014	PUBLIC Storage	other/storage rental	(1,307.64)	1089
3/16/2014	Art Finance Partners	other int exp	(3,820.00)	1090
3/17/2014	Karen romanoff	Food, Clothing, Hygiene	(876.36)	1091
3/27/2014	Karen Romanoff	Food, Clothing, Hygiene	(1,357.27)	1092
3/29/2014	Collectors Car Storage	auto	(370.00)	1093
3/31/2014	Con Ed 525-8e	utilities	(186.44)	1094
3/31/2014	Con Ed 525-8d	utilities	(126.33)	1095
3/31/2014	VERIZONS 0131	utilities	(182.06)	1096
3/31/2014	Nicholas Romanoff	Food, Clothing, Hygiene	(150.00)	1097
3/31/2014	Karen Romanoff	Food, Clothing, Hygiene	(865.00)	1098
3/20/2014	Nicholas Romanoff	Food, Clothing, Hygiene	(150.00)	1102
3/20/2014	PRUDential Life	Insurance	(3,365.00)	1103
3/20/2014	Karen Romanoff	Food, Clothing, Hygiene	(250.00)	1104
3/20/2014	Karen Romanoff	Food, Clothing, Hygiene	(625.00)	1105
3/20/2014	Hsbc	Mortgage	(3,525.95)	DEBITS
3/20/2014	mortgage 5733592	Mortgage	(10,927.69)	DEBITS
3/27/2014	Misc	Other/fee	(15.00)	DEBITS
3/29/2014	BEAVER CREEK MORT	Mortgage	(5,964.66)	DEBITS
Total Bank Account Disbursements			(48,849.57)	

Total Disbursements for the Month		(48,849.57)
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3/1/14 Robert Romanoff

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**BALANCE SHEET**

The Balance Sheet is to be completed on an accrual basis only. Pre-petition liabilities must be classified separately from post-petition obligations.

ASSETS	BOOK VALUE AT END OF CURRENT REPORTING MONTH	BOOK VALUE ON PETITION DATE OR SCHEDULED AMOUNT
<b>SCHEDULE A REAL PROPERTY</b>		
Primary Residence	1,950,000.00	1,700,000.00
Other Property (attach schedule)		
<b>TOTAL REAL PROPERTY ASSETS</b>	<b>1,950,000.00</b>	<b>1,700,000.00</b>
<b>SCHEDULE B PERSONAL PROPERTY</b>		
Cash on Hand	-	-
Bank Accounts	10,604.90	30,892.00
Security Deposits	8,400.00	33,600.00
Household Goods & Furnishings	25,000.00	25,000.00
Books, Pictures, Art	730,219.00	730,219.00
Wearing Apparel	63,592.00	63,592.00
Furs and Jewelry	10,000.00	10,000.00
Firearms & Sports Equipment	1,000.00	1,000.00
Insurance Policies	11,167.00	11,167.00
Annuities		
Education IRAs		
Retirement & Profit Sharing		
Stocks		
Partnerships & Joint Ventures		
Government & Corporate Bonds		
Accounts Receivable	50,000.00	
Alimony, maintenance, support or property settlements		
Other Liquidated Debts		
Equitable Interests in Schedule A property		
Contingent Interests		
Other Claims * see note below	-	-
Patents & Copyrights		
Licenses & Franchises		
Customer Lists		
Autos, Trucks & Other Vehicles	235,000.00	235,000.00
Boats & Motors		
Aircraft		
Office Equipment	-	-
Machinery, supplies, equipment used for business		
Inventory		
Animals		
Crops		
Farming Equipment		
Farm Supplies		
Other Personal Property (attach schedule)	512,883.82	507,496.00
<b>TOTAL PERSONAL PROPERTY</b>	<b>1,657,866.72</b>	<b>1,647,966.00</b>
<b>TOTAL ASSETS</b>	<b>3,607,866.72</b>	<b>3,347,966.00</b>

**Case No. 13-40002**

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TOTAL POST-PETITION LIABILITIES

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### SUMMARY OF UNPAID POST-PETITION DEBTS

	Number of Days Past Due					Total
	Current	0-30	31-60	61-90	Over 91	
Mortgage						0
Rent						0
Secured Debt/Adequate Protection Payments						0
Professional Fees						0
Other Post-Petition debt ( <i>list creditor</i> )						
<b>Total Post-petition Debts</b>	0	0	0	0	0	0

Explain how and when the Debtor intends to pay any past due post-petition debts.

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**POST-PETITION STATUS OF SECURED NOTES, LEASES PAYABLE  
AND ADEQUATE PROTECTION PAYMENTS**

NAME OF CREDITOR	SCHEDULED MONTHLY PAYMENT DUE	AMOUNT PAID DURING MONTH	TOTAL UNPAID POST- PETITION
no payments set up as of 02/28/14			
TOTAL PAYMENTS			

**INSTALLMENT PAYMENTS**

TYPE OF POLICY	CARRIER	PERIOD COVERED	PAYMENT AMOUNT & FREQUENCY
no installments set up as of 02/28/14			

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### DEBTOR QUESTIONNAIRE

Must be completed each month. If the answer to any of the questions is "Yes", provide a detailed explanation of each item. Attach additional sheets if necessary.		Yes	No
1	Have any funds been disbursed from any account other than a debtor in possession account this reporting period?		X
2	Is the Debtor delinquent in the timely filing of any post-petition tax returns?		X
3	Are property insurance, automobile insurance, or other necessary insurance coverages expired or cancelled, or has the debtor received notice of expiration or cancellation of such policies?		X
4	Is the Debtor delinquent in paying any insurance premium payment?		X
5	Have any payments been made on pre-petition liabilities this reporting period?		X
6	Are any post petition State or Federal income taxes past due?		X
7	Are any post petition real estate taxes past due?		X
8	Are any other post petition taxes past due?		X
9	Have any pre-petition taxes been paid during this reporting period?		X
10	Are any amounts owed to post petition creditors delinquent?		X
11	Have any post petition loans been received by the Debtor from any party?		X
12	Is the Debtor delinquent in paying any U.S. Trustee fees?		X
13	Is the Debtor delinquent with any court ordered payments to attorneys or other professionals?		X



JPMorgan Chase Bank, N.A.  
P O Box 659754  
San Antonio, TX 78265-9754

March 01, 2014 through March 31, 2014

Account Number: **000000530516082**

00434690 1 AV 0.381



00434690 DRE 802 142 09114 NNNNNNNNNN T 1 000000000 66 0000 T1982374 P58902

ROBERT S ROMANOFF DIP  
DEBTOR IN POSSESSION  
CASE #13-23897  
302A W 12TH ST # 118  
NEW YORK NY 10014-7906

### CUSTOMER SERVICE INFORMATION

Web site: **www.Chase.com**  
Service Center: **1-877-425-8100**  
Deaf and Hard of Hearing: **1-800-242-7383**  
Para Espanol: **1-888-622-4273**  
International Calls: **1-713-262-1679**



## CHECKING SUMMARY

Chase Total Business Checking

	INSTANCES	AMOUNT
<b>Beginning Balance</b>		<b>\$18,157.32</b>
Deposits and Additions	5	40,377.27
Checks Paid	26	- 27,191.73
Electronic Withdrawals	5	- 21,008.13
Fees and Other Withdrawals	1	- 15.00
<b>Ending Balance</b>	<b>37</b>	<b>\$10,319.73</b>

## DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
03/17	Deposit 1301833994	\$3,000.00
03/17	Deposit 1301833995	877.27
03/20	Fedwire Credit Via: The Bank of New York Mellon/021000018 B/O: Robert Romanoff 302A W 12th St New York NY 10014-7906 Ref: Chase Nyc/Ctr/Bnf=Robert S Romanoff Dip Debtor IN New York, NY 100141947/Ac-0000000005305 Rfb=O/B Bk of Nyc Bbi=/Bnf/76 Davis Avenue Ryeimad: 0320B1Q8151C003006 Trn: 2079009079Ff	25,000.00
03/28	Deposit 1309690450	3,000.00
03/31	Transfer From Chk Xxxxx9971	8,500.00
<b>Total Deposits and Additions</b>		<b>\$40,377.27</b>

## CHECKS PAID

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
1062 ^		03/04	\$100.00
1063 ^		03/03	480.12
1066 * ^		03/03	2,430.00
1073 * ^		03/05	859.76
1074 ^		03/19	2,246.75
1075 ^		03/10	953.62

March 01, 2014 through March 31, 2014  
Account Number: 00000530516082**CHECKS PAID** (continued)

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
1076 ^		03/14	861.42
1078 * ^		03/24	53.22
1079 ^		03/20	464.70
1080 ^		03/25	259.54
1082 * ^		03/19	40.84
1083 ^		03/21	51.12
1085 * ^		03/20	162.16
1086 ^		03/20	187.21
1087 ^		03/28	480.00
1088 ^		03/21	4,795.00
1089 ^		03/20	1,307.64
1090 ^		03/19	3,820.00
1091 ^		03/17	876.36
1092 ^		03/26	1,357.27
1097 * ^		03/31	150.00
1098 ^		03/31	865.00
1102 * ^		03/20	150.00
1103 ^		03/25	3,365.00
1104 ^		03/20	250.00
1105 ^		03/20	625.00

**Total Checks Paid** \$27,191.73

If you see a description in the Checks Paid section, it means that we received only electronic information about the check, not the original or an image of the check. As a result, we're not able to return the check to you or show you an image.

\* All of your recent checks may not be on this statement, either because they haven't cleared yet or they were listed on one of your previous statements.

^ An image of this check may be available for you to view on Chase.com.

**ELECTRONIC WITHDRAWALS**

DATE	DESCRIPTION	AMOUNT
03/12	Time Warner Cabl Cable Pay 0042328178 Spa Tel ID: C815010000	\$227.55
03/18	Vz Wireless Vn E Check 3479346 Tel ID: 0000751800	362.28
03/21	Mortgage Svc Ctr Mortgage 2029530652 Tel ID: 9207718402	10,927.69
03/21	Mortgage Svc Ctr Mortgage 2029530710 Tel ID: 9207718402	3,525.95
03/28	Mortgage Svc Ctr Mortgage 2009052255 Tel ID: 9207718402	5,964.66

**Total Electronic Withdrawals** \$21,008.13**FEES AND OTHER WITHDRAWALS**

DATE	DESCRIPTION	AMOUNT
03/20	Incoming Domestic Wire Fee	\$15.00

**Total Fees & Other Withdrawals** \$15.00

The monthly service fee of \$12.00 was waived this period because you maintained a monthly minimum balance of \$1,500.00 or more.



March 01, 2014 through March 31, 2014  
Account Number: **000000530516082**

### DAILY ENDING BALANCE

DATE	AMOUNT	DATE	AMOUNT
03/03	\$15,247.20	03/19	8,775.89
03/04	15,147.20	03/20	30,614.18
03/05	14,287.44	03/21	11,314.42
03/10	13,333.82	03/24	11,261.20
03/12	13,106.27	03/25	7,636.66
03/14	12,244.85	03/26	6,279.39
03/17	15,245.76	03/28	2,834.73
03/18	14,883.48	03/31	10,319.73

### SERVICE CHARGE SUMMARY

TRANSACTIONS FOR SERVICE FEE CALCULATION		NUMBER OF TRANSACTIONS
Checks Paid / Debits		31
Deposits / Credits		5
Deposited Items		8
<b>Transaction Total</b>		<b>44</b>
SERVICE FEE CALCULATION		AMOUNT
Service Fee		\$12.00
Service Fee Credit		-\$12.00
<b>Net Service Fee</b>		<b>\$0.00</b>
Excessive Transaction Fees (Above 200)		\$0.00
<b>Total Service Fees</b>		<b>\$0.00</b>



**BALANCING YOUR CHECKBOOK**

**Note:** Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not.

1. Write in the Ending Balance shown on this statement: **Step 1 Balance:** \$ \_\_\_\_\_

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____

**Step 2 Total:** \$ \_\_\_\_\_

3. Add Step 2 Total to Step 1 Balance.

**Step 3 Total:** \$ \_\_\_\_\_

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

**Step 4 Total:** -\$ \_\_\_\_\_

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: \$ \_\_\_\_\_

**IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS:** Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

- Your name and account number
- The dollar amount of the suspected error
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error so that you will have use of the money during the time it takes us to complete our investigation.

**IN CASE OF ERRORS OR QUESTIONS ABOUT NON-ELECTRONIC TRANSACTIONS:** Contact the bank immediately if your statement is incorrect or if you need more information about any non-electronic transactions (checks or deposits) on this statement. If any such error appears, you must notify the bank in writing no later than 30 days after the statement was made available to you. For more complete details, see the Account Rules and Regulations or other applicable account agreement that governs your account.



JPMorgan Chase Bank, N.A. Member FDIC



JPMorgan Chase Bank, N.A.  
P O Box 659754  
San Antonio, TX 78265-9754

March 01, 2014 through March 31, 2014

Account Number: **000000553000022**

00435807 1 AV 0.381



00435807 DRE 802 142 09114 NNNNNNNNNN T 1 000000000 66 0000 T1982376 P58902

ROBERT S ROMANOFF DIP  
DEBTOR IN POSSESSION  
CASE #13-23897  
302A W 12TH ST # 118  
NEW YORK NY 10014-7906

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Para Espanol: **1-888-622-4273**  
International Calls: **1-713-262-1679**



### CHECKING SUMMARY

Chase Total Business Checking

	INSTANCES	AMOUNT
Beginning Balance		<b>\$1,500.00</b>
Ending Balance	<b>0</b>	<b>\$1,500.00</b>

There has been no activity on your account during this statement period. You may not receive a statement through the mail in the future if there is no activity on your account. You can always view your account activity and statement by logging on to your account through chase.com. If you have questions, please call us at the number on this statement.

The monthly service fee of \$12.00 was waived this period because you maintained a monthly minimum balance of \$1,500.00 or more.

### SERVICE CHARGE SUMMARY

#### TRANSACTIONS FOR SERVICE FEE CALCULATION

	NUMBER OF TRANSACTIONS
Checks Paid / Debits	0
Deposits / Credits	0
Deposited Items	0
<b>Transaction Total</b>	<b>0</b>

#### SERVICE FEE CALCULATION

	AMOUNT
Service Fee	\$12.00
Service Fee Credit	<b>-\$12.00</b>
<b>Net Service Fee</b>	<b>\$0.00</b>
Excessive Transaction Fees (Above 200)	\$0.00
<b>Total Service Fees</b>	<b>\$0.00</b>

**BALANCING YOUR CHECKBOOK**

**Note:** Ensure your checkbook register is up to date with all transactions to date whether they are included on your statement or not.

1. Write in the Ending Balance shown on this statement: **Step 1 Balance:** \$ \_\_\_\_\_

2. List and total all deposits & additions not shown on this statement:

Date	Amount	Date	Amount	Date	Amount
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____

**Step 2 Total:** \$ \_\_\_\_\_

3. Add Step 2 Total to Step 1 Balance.

**Step 3 Total:** \$ \_\_\_\_\_

4. List and total all checks, ATM withdrawals, debit card purchases and other withdrawals not shown on this statement.

Check Number or Date	Amount	Check Number or Date	Amount
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

**Step 4 Total:** -\$ \_\_\_\_\_

5. Subtract Step 4 Total from Step 3 Total. This should match your Checkbook Balance: \$ \_\_\_\_\_

**IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS:** Call or write us at the phone number or address on the front of this statement (non-personal accounts contact Customer Service) if you think your statement or receipt is incorrect or if you need more information about a transfer listed on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the problem or error appeared. Be prepared to give us the following information:

- Your name and account number
- The dollar amount of the suspected error
- A description of the error or transfer you are unsure of, why you believe it is an error, or why you need more information.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error so that you will have use of the money during the time it takes us to complete our investigation.

**IN CASE OF ERRORS OR QUESTIONS ABOUT NON-ELECTRONIC TRANSACTIONS:** Contact the bank immediately if your statement is incorrect or if you need more information about any non-electronic transactions (checks or deposits) on this statement. If any such error appears, you must notify the bank in writing no later than 30 days after the statement was made available to you. For more complete details, see the Account Rules and Regulations or other applicable account agreement that governs your account.



**JPMorgan Chase Bank, N.A. Member FDIC**





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ROBERT ROMANOFF  
302A W 12TH ST  
#118  
NEW YORK NY 10014-7906

**Your Client Advisor:**  
FINK / GERMANN  
(203) 869-5555

Account Number: 5XG-022752  
Statement Period: 03/01/2014 - 03/31/2014

### Valuation at a Glance

	This Period	Year-to-Date
Beginning Account Value	\$587,883.15	\$551,002.00
Net Cash Deposits and Withdrawals	-75,000.00	-105,000.00
Adjusted Previous Account Value	512,883.15	446,002.00
Dividends, Interest and Other Income	0.67	66,881.82
Ending Account Value	\$512,883.82	\$512,883.82
Estimated Annual Income	\$1.82	

### Asset Allocation

	Prior Year-End	Last Period	This Period	% Allocation
USD Cash, Money Funds, and Bank Deposits <sup>1</sup>	45,861.00	82,742.15	7,742.82	1.51%
Alternative Investments	505,141.00	505,141.00	505,141.00	98.49%
<b>Account Total</b>	<b>\$551,002.00</b>	<b>\$587,883.15</b>	<b>\$512,883.82</b>	<b>100.00%</b>

<sup>1</sup> The Bank Deposits in your account are FDIC insured bank deposits. FDIC insured bank deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). These bank deposits are covered by the Federal Deposit Insurance Corporation (FDIC), up to allowable limits. See page 2 of this statement for important information regarding the Asset Allocation section.



## Asset Allocation Disclosure and Footnotes

Note: Unpriced securities are not included in the Total Account Value.

### FDIC Insured Bank Deposits

Date	Activity Type	Description	Amount	Balance
<b>Sweep FDIC Insured Bank Deposits</b>				
<b>INSURED DEPOSITS PROGRAM</b>				
Account Number: 5XG022752		Activity Ending: 03/31/14		
03/01/14	Opening Balance		82,742.15	82,742.15
03/14/14	Deposit	INTEREST CREDITED APY 0.01%	0.67	82,742.82
03/17/14		BB&T		82,742.82
03/20/14	Withdrawal	A/O 03/17 \$82742.82	-25,000.00	57,742.82
03/24/14	Withdrawal	WITHDRAWAL	-40,000.00	17,742.82
03/31/14	Closing Balance			<b>\$17,742.82</b>

### Total FDIC Insured Bank Deposits

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits at each bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to \$250,000 for each category of legal ownership including individual retirement accounts and certain other self directed retirement accounts. Please review this in connection with other deposits you may have at each respective bank.

### Income and Expense Summary

	Current Period		Year-to-Date	
	Taxable	Non Taxable	Taxable	Non Taxable
<b>Interest Income</b>				
FDIC Insured Bank Deposits	0.67	0.00	1.82	0.00
<b>Total Dividends, Interest, Income and Expenses</b>	<b>\$0.67</b>	<b>\$0.00</b>	<b>\$1.82</b>	<b>\$0.00</b>
<b>Distributions</b>				
Other Distributions	0.00	0.00	0.00	66,880.00
<b>Total Distributions</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$66,880.00</b>

### Portfolio Holdings

Description	Quantity	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield
<b>Cash, Money Funds, and Bank Deposits 2.00% of Portfolio</b>						
Cash Balance		0.00	-10,000.00			



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P.O. Box 1776, Baltimore MD 21203

Statement Period: 03/01/2014 - 03/31/2014

## Portfolio Holdings (continued)

Description	Quantity	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield
<b>Cash, Money Funds, and Bank Deposits (continued)</b>						
FDIC Insured Bank Deposits						
INSURED DEPOSITS PROGRAM						
Total FDIC Insured Bank Deposits	17,742.820	82,742.15	17,742.82	0.17	1.82	N/A
Total Cash, Money Funds, and Bank Deposits		\$82,742.15	\$17,742.82	\$0.17	\$1.82	
		\$82,742.15	\$17,742.82	\$0.17	\$1.82	

Description	Quantity	Market Price	Market Value	Estimated Yield
<b>Alternative Investments 98.00% of Portfolio</b>				
DB PRIVATE EQUITY GLOBAL SELECT FUND <sup>7</sup>	960,000.000	N/A	505,141.00	
(U.S.) LIMITED PARTNERSHIP				
Security Identifier: 233993625				
Valuation Date: 09/30/13 Valuation Code: A, H, C				

## Total Alternative Investments

\$505,141.00

## Valuation Codes:

A = This is an estimate of the investors' interest in the net assets of the program.  
C = The source of this information is the management of the program.

H = The method of valuation is based solely on program management's estimate of the value of the program's net assets with no independent confirmation.

## Disclosures:

<sup>7</sup> Where the Total Portfolio Holdings includes alternative investments, please note that the Market Value for the portfolio includes estimated values for the alternative investments.

## Total Portfolio Holdings

Market Value	Accrued Interest	Estimated Annual Income
\$512,883.82	\$0.00	\$1.82

The estimated values, where indicated of Alternative Investments, including limited partnerships, real estate investment trusts (REITs), direct participation programs (DPPs), hedge funds, fund of funds, private equity, real estate and managed future have been provided by the management of the Alternative Investment, generally through an intermediary. The values are not guaranteed, provided for informational purposes only and are intended to reflect an estimate of the interest in the Alternative Investment represented by the units or shares described above. Alternative Investment securities are generally illiquid, and the value may not be realized when you seek to liquidate the security. Please note the estimated values for Alternative Investments, which are provided by the management of the Alternative Investment, may not reflect recent activity or current values and do not reflect an independent evaluation of the Alternative Investment.

**Portfolio Holdings (continued)**

Where no value is indicated, please note that:

- Alternative investment securities are generally illiquid
- The value of the security may be different from its purchase price
- Accurate valuation information is not available

**Alternative Investments Detail**

Description	Investment Date	Commitment Amount	Contribution Amount	Distribution Amount	Activity to Date
<b>Private Equity</b>					
DB PRIVATE EQUITY					
GLOBAL SELECT FUND					
(U.S.) LIMITED					
PARTNERSHIP					
Security Identifier: 233993625	12/22/04	1,000,000.00	960,000.00	1,170,682.68	03/14/14
<b>Total Private Equity</b>		<b>\$1,000,000.00</b>	<b>\$960,000.00</b>	<b>\$1,170,682.68</b>	

Alternative investment products are not held by DEUTSCHE BANK SECURITIES INC or Pershing LLC. Statistics and estimated values are provided by the management of the Alternative Investment for informational purposes only and may not reflect current values. The valuation amounts and fund allocations are supplied by the management of the Funds and may not reflect recent activity. Statements of account for Alternative Investments are sent directly to investors by the Funds and these should be referred to for additional information. Ownership interests in Alternative Investments products may be individually owned and the registration may differ from the registration of your DEUTSCHE BANK SECURITIES INC account. For alternative investment positions having more than one purchase date, the estimated values and other information attributed to the different purchase dates are derived from the total estimated value of the entire investment allocated proportionately among the purchases, and does not represent the actual performance of that particular purchase and should not be relied upon for investment decisions or for tax purposes.

Values in this section may not be exact due to rounding.

**Messages**

Although a money market mutual fund seeks to preserve the value of your investment at \$1 per share, it is possible to lose money by investing in a money market mutual fund. Shares of a money market mutual fund or the balance of a bank deposit product held in your brokerage account may be liquidated upon request with the proceeds credited to your brokerage account. Please see the money market mutual fund's prospectus or the bank deposit product's disclosure document or contact your advisor for additional information.

Federal Deposit Insurance Corporation (FDIC)-Insured Bank Deposits are not protected by Securities Investor Protection Corporation (SIPC).

For disclosure of rates for DWS money market funds, please visit the DWS Investments website at <https://www.dws-investments.com/EN/products/money-market-funds-yields.jsp>. Please note that all money market funds listed on the website may not be offered through us.

In accordance with the Securities Exchange Act Rule 606 (Disclosure of Order Routing Information), upon your request, the following information is available to you:

- the identity of the venue to which your orders were routed for execution in the six months prior to the request,
- whether the orders were directed orders or non-directed orders,
- the time of the transactions, if any, that resulted from such orders

We will notify you on an annual basis of the availability of the above information upon request.